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**UTILITY  
PATENT APPLICATION  
TRANSMISSION**

**CERTIFICATE OF MAIL (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP PATENT APPLICATION, FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on January 27, 2004.

Signed

Laura Lee Mosier

Our Docket No.:  
**A-70028-1/MSS/TJH**Date: **January 27, 2004**First Named Inventor: **SENZAKI, Yoshihide**Title: **MULTILAYER HIGH K DIELECTRIC FILMS  
AND METHOD OF MAKING SAME**Express Mail No.: **EV 182 097 814 US**22264 U.S.P.T.O.  
10/76618

012704

**ADDRESS TO:**

**Mail Stop Patent Application, FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**APPLICATION ELEMENTS**

- |  |  |  |
|--|--|--|
| 1. <input checked="" type="checkbox"/> Fee Calculation Sheet<br>(Submit an original and a duplicate for fee processing)  | 7.. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |  |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status  | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(If applicable, all necessary)   |  |
| 3. <input checked="" type="checkbox"/> Specification Total Pages: .11 <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Descriptive title of the invention</li> <li><input checked="" type="checkbox"/> Cross References to Related Applications</li> <li><input type="checkbox"/> Statement Regarding Fed. Sponsored R&amp;D</li> <li><input type="checkbox"/> Reference to Microfiche Appendix</li> <li><input checked="" type="checkbox"/> Background of the Invention</li> <li><input checked="" type="checkbox"/> Brief Summary of the Invention</li> <li><input checked="" type="checkbox"/> Brief Description of the Drawings</li> <li><input checked="" type="checkbox"/> Detailed Description</li> <li><input checked="" type="checkbox"/> Claims</li> <li><input checked="" type="checkbox"/> Abstract of the Disclosure</li> </ul> | a. <input type="checkbox"/> Computer Readable Form (CRF)   |  |
| 4. <input checked="" type="checkbox"/> Drawings (35 U.S.C. 113) Total Sheets: 4  | b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> | c. <input type="checkbox"/> Statement verifying identify of above copies |
| 5. <input type="checkbox"/> Unexecuted Oath or Declaration Total Pages:<br>a. <input type="checkbox"/> Newly Executed (original or copy)   | 9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)  |  |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)  | 10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |  |
| 1. <input type="checkbox"/> <u>DELETION OF INVENTORS</u><br>Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))   | 11. <input type="checkbox"/> 37 C.F.R. 3.73(b) Submission  |  |
| 6. <input type="checkbox"/> Application Data Sheet (37.C.F.R. 1.76)  | 12. <input type="checkbox"/> Power of Attorney   |  |
| 19. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information:<br><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP)    of prior Application No.: 10/056,625 filed January 25, 2002, which claims the benefit of U.S. Provisional Application No. 60/264,428 filed January 26, 2001  | 13. <input type="checkbox"/> English Translation Document (if applicable)  |  |
|  | 14. <input type="checkbox"/> Information Disclosure Statement<br>(with Copies of Citations as necessary)   |  |
|  | 15. <input type="checkbox"/> Preliminary Amendment Total Pages:  |  |
|  | 16. <input checked="" type="checkbox"/> Return Receipt Postcard<br>(Should be specifically itemized)   |  |
|  | 17. <input type="checkbox"/> Certified Copy of Priority document(s)<br>(if foreign priority is claimed)  |  |
|  | 18. <input type="checkbox"/> Other   |  |

**ACCOMPANYING APPLICATION PARTS**

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
 Continuation     Division     Continuation-in-part (CIP)    of prior Application No.: 10/056,625 filed January 25, 2002, which claims the benefit of U.S. Provisional Application No. 60/264,428 filed January 26, 2001

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

**CORRESPONDENCE ADDRESS**

ATTY NAME	Tianjun Hou Reg. No. 51,821	SIGNATURE:	DATE: January 27, 2004
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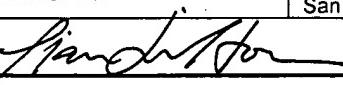
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**APPLICATION  
FEE TRANSMITTAL SHEET  
(FY 2004)**

Complete if Known	
Application No.	to be assigned
Filing Date	January 27, 2004
First Named Inventor	Yoshihide SENZAKI
Group Art Unit	2811
Examiner Name	IM, Junghwa M.
Atty. Docket Number	A-70028-1/MSS (468330-936)

METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)		
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>50-2319</u> Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u>			<b>3. ADDITIONAL FEES</b>		
<input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)			<b>Large Entity Fee</b>	<b>Small Entity Fee</b>	<b>Fee Description</b>
			<b>50</b>	<b>25</b>	Surcharge - late provisional filing fee or cover sheet
			<b>130</b>	<b>65</b>	Surcharge – Late nonprovisional filing fee or oath
			<b>180</b>	<b>180</b>	Submission of IDS
			<b>40</b>	<b>40</b>	Recording each patent assignment per property (times number of properties)
			<b>110</b>	<b>55</b>	Extension for reply within first month
			<b>420</b>	<b>210</b>	Extension for reply within second month
			<b>950</b>	<b>475</b>	Extension for reply within third month
			<b>1,480</b>	<b>740</b>	Extension for reply within fourth month
			<b>2010</b>	<b>1005</b>	Extension for reply within fifth month
			<b>770</b>	<b>385</b>	Submission After Final 1.129
			<b>330</b>	<b>165</b>	Notice of Appeal
			<b>330</b>	<b>165</b>	Filing a brief in support of an appeal
			<b>290</b>	<b>145</b>	Request for oral hearing
			<b>110</b>	<b>55</b>	Terminal Disclaimer Fee
			<b>130</b>	<b>130</b>	Petitions to the Commissioner
			<b>50</b>	<b>50</b>	Petitions related to provisional applications
			<b>1,330</b>	<b>665</b>	Utility/Reissue Issue Fee (including advance copies)
			<b>480</b>	<b>240</b>	Design Issue Fee (inc. advance copies)
			<b>770</b>	<b>385</b>	Request for Continued Examination (RCE)
			<b>300</b>	<b>300</b>	Publication fee for early, voluntary, or normal publication
			<b>300</b>	<b>300</b>	Publication fee for re-publication
			<b>110</b>	<b>55</b>	Petition to Revive – unavoidable
			<b>1,330</b>	<b>665</b>	Petition to Revive – unintentional
OTHER FEE (specify):					
			<b>Subtotal (3)</b> <b>385.00</b>		
<b>Total Amount of Payment:</b> <b>385.00</b>					

Submitted by:

Name: Tianjun Hou, Esq.	Reg. No.: 51,821	Telephone: 650-494-8700
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